

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,937	12/21/2001	Keith D. Allen	632 CIP

CONFIRMATION NO. 7301

## FORMALITIES LETTER



\*OC000000007426948\*

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2002 HNMHOL 00000004 10026937

FILED UNDER 37 CFR 1.53(b)

01 FC:202 672.00 OP  
02 FC:203 189.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*

- Total additional claim fee(s) for this application is \$1001. 04/15/2002 HBERKE 00000011 10026937

- \$189 for 21 total claims over 20.

01 FC:201  
02 FC:205  
03 FC:203  
04 FC:202  
05 FC:204

370.00 OP  
65.00 OP  
140.00 OP  
71.00 OP  
140.00 OP

- \$672 for 16 independent claims over 3.

- \$140 for multiple dependent claim surcharge.

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1436.

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is

Repln. Ref: 05/16/2002 HNMHOL 00073700  
DAB:501271 Name/Number:10026937

FC: 704

\$51.00 CR

Adjustment dates: 05/16/2002 HNMHOL  
04/15/2002 HBERKE 00000011 10026937  
03 FC:203 -190.00 OP  
04 FC:202 -511.00 OP

identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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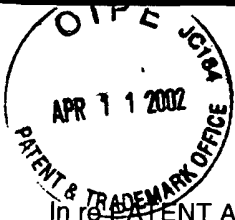
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

MP  
Sect #

In re: PATENT APPLICATION of

Inventors: **Keith D. ALLEN et al.**

Appln. No.: **10/026,937**

Filed: **December 21, 2001**

Title: **Transgenic Mice Containing FPR-RS4 Gene  
Disruptions**

Docket/Order No.

**R-632 CIP**

Deposit Account No.

**50-1271**

Customer No.

**26619**

Date: **April 2, 2002**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Enclosed is the sequence listing on paper and a diskette containing said sequence listing.
5. ☒ Applicants state that the content of the sequence listing information recorded in computer readable form is identical to the paper copy of the sequence listing and includes no new matter, as required by 37 CFR §1.821.
6. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

7. Basic Filing Fee .....					\$370.	\$	370.00	201
8. Total Claims	42	minus 20 =	22	x	9.	+	198.00	203
9. Independent Claims	20	minus 3 =	17	x	42.	+	714.00	202
10. Multiple Dependent Claims					140.	+	140.00	204
11. Surcharge for filing Declaration/filing fee late					65.	+	65.00	205
12. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED	= \$ 1487.00							
13. Original due date: <b>April 6, 2002</b>								
14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.		.00	215/216 217/218
15. If an Assignment is included, add recording fee .....					\$ 40.	+	40.00	581
TOTAL FEE (enclosed):					= \$ 1527.00			

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

740 Bay Road  
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Tel: (650) 569-5100  
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*Mariette A. Lapiz*  
Mariette A. Lapiz, Reg. No. 44,202

**CERTIFICATE OF MAILING**

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on **April 2, 2002**.

*Deborah A. Mojarro*

Deborah A. Mojarro